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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Daryl A. Albus

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MAY 2 5 2004

Technology Center 2600

Applicant

: Jon Dakss, et al.

Application No.

: 09/697,483

Filed

: October 26, 2000

Title

: A METHOD AND APPARATUS FOR ENCODING VIDEO

HYPERLINKS

Gη₩Ďiv.

: 2613

Examiner

: Erick J. Rekstad

Docket No.

: 50786/JEC/G476

INFORMATION DISCLOSURE STATEMENT FOR A CONTINUING NON-CPA APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Post Office Box 7068 Pasadena, CA 91109-7068

May 17, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, enclosed is FORM PTO/SB/08A/B. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b). To the best of undersigned's knowledge, this Statement is being filed before the mailing date of a first Office action on the merits.

The references listed thereon, except for European Patent No. EP 1 051 039 A2 and various PCT Search Reports and articles, are not enclosed because they were made of record in a parent Application No. 09/694,079, filed October 20, 2000. A copy European Patent No. EP 1 051 039 A2 and various PCT Search Reports and articles are enclosed for the Examiner's consideration.

It is respectfully requested that these references be considered in the examination of this application, and identified in the list of references cited on the patent issuing on this application.

05/21/2004 MBERHE

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Application No. 09/697,483

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. A copy of this paper is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

JEC/daa Enclosure(s) DAA PAS564182.1-*-05/10/04 2:20 PM



FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	50786/JEC/G476
Application Number	09/697,483
Filing Date	October 26, 2000
Applicant(s)	Jon Dakss et al.
Group Art Unit	2613
Examiner Name	Erick J. Rekstad

		U.S. P	ATENT DOCUMENTS	
EXAMINER INITIALS	Cite No.1	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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		4,122,477	10-24-1978	Gallo
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		4,532,547	07-30-1985	Bennett
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·		4,959,718	09-25-1990	Bennett
	.,	5,008,751	04-16-1991	Wischermann
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,		5,045,940	09-03-1991	Peters et al.

EXAMINER SIGNATURE	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

MAY 2 0 2004 33		
FC 24 PTO/SB(05 //B (10-01) Substitute 1844 TO-1449A/B	Attorney Docket Number	50786/JEC/G476
INFORMATION DISCLOSURE	Application Number	09/697,483
STATEMENT BY APPLICANT	Filing Date	October 26, 2000
	Applicant(s)	Jon Dakss et al.
(use as many sheets as necessary)	Group Art Unit	2613
	Examiner Name	Erick J. Rekstad

U.S. PATENT DOCUMENTS				
EXAMINER	Cite	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PARTICIPAT
INITIALS	No.1	Number - Kind Code ² (If Known)	MM-DD-YYYY	NAME OF PATENTEE
		5,195,092	03-16-1993	Wilson et al.
		5,208,665	05-04-1993	McCalley et al.
		5,347,322	09-13-1994	Levine et al.
		5,404,173	04-04-1995	Parrish et al. FECEIVEL
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		5,526,024	06-11-1996	Gaglianello et al.
		5,533,021	07-02-1996	Branstad et al.
		5,541,662	07-30-1996	Adams et al.
1	***	5,583,561	12-10-1996	Baker et al.
1		5,585,858	12-07-1996	Harper et al.
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		5,664,354	07-01-1997	Thompson et al.
		5,665,050	08-05-1997	Yamamoto et al.
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MAY 20 DOWN		
FORM PTO/SB/08A/89/0-01) Substitute for PTO-1449A/B	Attorney Docket Number	50786/JEC/G476
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	09/697,483
	Filing Date	October 26, 2000
	Applicant(s)	Jon Dakss et al.
(use as many sheets as necessary)	Group Art Unit	2613

Examiner Name

		U.S. P.	ATENT DOCUMENTS	
EXAMINER	Cite	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATTERVITES
INITIALS	No.1	Number - Kind Code ² (If Known)	MM-DD-YYYY	NAME OF PATENTEE
		5,689,799	11-18-1997	Dougherty et al.
		5,708,845	01-13-1998	Wistendahl et al.
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	·	5,724,091	03-03-1998	Freeman et al.
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		5,930,797	07-27-1999	Hill

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SIGNATURE	CONSIDERED	

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Erick J. Rekstad

FORM PTO/SB484/BA961) Substitute for PTO-1449A/B	Attorney Docket Number	50786/JEC/G476
INFORMATION DISCLOSURE	Application Number	09/697,483
STATEMENT BY APPLICANT (use as many sheets as necessary)	Filing Date	October 26, 2000
	Applicant(s)	Jon Dakss et al.
	Group Art Unit	2613
	Examiner Name	Erick J. Rekstad

U.S. PATENT DOCUMENTS				
EXAMINER	Cite	DOCUMENT NUMBER	PUBLICATION DATE	
INITIALS	No. ¹	Number - Kind Code ² (If Known)	MM-DD-YYYY	NAME OF PATENTEE
		5,946,318	08-31-1999	Post
		5,949,410	09-07-1999	Fung
		5,949,476	09-07-1999	Pocock et al.
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FORM PRO/SB/08A/SS 0-01) Substitute 10 10 10 10 10 10 10 10 10 10 10 10 10	Attorney Docket Number	50786/JEC/G476
INFORMATION DISCLOSURE	Application Number	09/697,483
STATEMENT BY APPLICANT (use as many sheets as necessary)	Filing Date	October 26, 2000
	Applicant(s)	Jon Dakss et al.
	Group Art Unit	2613
	Examiner Name	Erick J. Rekstad

<u> </u>		U.S. P	ATENT DOCUMENTS	
EXAMINER	Cite	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF DATENTEE
INITIALS	No.1	Number - Kind Code ² (If Known) 6,049,539	04-11-2000	NAME OF PATENTEE Lee et al.
		6,058,430	05-02-2000	Kaplan
		6,067,107	05-23-2000	Travaille et al.
		6,072,839	06-06-2000	Mondal et al.
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		6,118,472	09-12-2000	Dureau et al. MAY 2 5 2004
		6,141,002	10-31-2000	Kanungo et al. Syzmanski et al. Syzmanski et al.
		6,148,081	11-14-2000	Syzmanski et al.
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		6,169,541 B1	01-02-2001	Smith
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		6,181,334 B1	01-30-2001	Freeman et al.
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		6,357,042 B2	03-12-2002	Srinivasan et al.
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EXAMINER	DATE
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FORM PTO/SBO A/B (10-01) Substitute 15 PTO-1449A/B	Attorney Docket Number	50786/JEC/G476
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	09/697,483
	Filing Date	October 26, 2000
	Applicant(s)	Jon Dakss et al.
	Group Art Unit	2613
	Examiner Name	Erick J. Rekstad

		U.S. P	ATENT DOCUMENTS	
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		6,415,438 B1	07-02-2002	Blackketter et al.
		6,615,408	09-02-2003	Kaiser et al. MAY 2 5 2004
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				Technology Center 260

FOREIGN PATENT DOCUMENTS T⁶ Foreign Patent Document Country Code3 - Number4 - Kind Code5 Name of Patentee or Cite **Publication Date** (v) **EXAMINER** Applicant of Cited Document MM-DD-YYYY INITIALS No.1 (If Known) 05-11-1994 Gibson EP 0 596 823 A2 05-11-1994 Gibson EP 0 596 823 A3 05-11-1994 Gibson EP 0 596 823 B1 Woodfield et al. EP 0 649 102 A2 06-26-1996 Woodfield et al. 04-19-1995 EP 0 649 102 A3 05-17-2000 Woodfield et al. EP 0 649 102 B1 11-02-1995 Menand et al. EP 0 680 213 A2 EP 0 680 213 A3 05-08-1996 Menand et al. 02-16-2000 Menand et al. EP 0 680 213 B1 Rohatgi et al. EP 0 752 786 A1 01-08-1997 Nielsen 07-02-1997 EP 0 782 065 A2 Stautnet et al. EP 0 834 798 A2 04-08-1998 05-06-1998 Chen et al. EP 0 840 241 A1

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MAY 2 0 2004 2		
FORM 20/5B/08 (195 10-01) Substitute 10 1440-1449 A/B	Attorney Docket Number	50786/JEC/G476
INFORMATION DISCLOSURE	Application Number	09/697,483
STATEMENT BY APPLICANT	Filing Date	October 26, 2000
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Applicant(s)

Group Art Unit

Examiner Name

Jon Dakss et al.

Erick J. Rekstad

2613

		FOREIGN 1	PATENT DOCUMEN	TS	
EXAMINER INITIALS	Cite No.'	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		EP 0 841 610 A2	05-13-1998	Kaufman	
		EP 0 858 225 A1	08-12-1998	Tims et al. PFCFI	VFD
		EP 0 866 614 A1	09-23-1998	Weston et al.	204
·		EP 0 920 891 A1	06-09-1999	Walton et al. MAY 2 5 2	004
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		EP 0 954 176 A2	11-03-1999	Dougherty et al.	
		EP 0 954 179 A2	11-03-1999	Travaille et al.	
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		WO 96/36007	11-14-1996	Isadore-Barreca et al.	
		WO 97/22083	06-19-1997	Mizuki et al.	
		WO 97/29458	. 08-14-1997	Freeman et al.	
		WO 97/33434	09-12-1997	Hidary et al.	
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MAY 2 0 2004 E		
FORM PROPERTY (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	50786/JEC/G476
INFORMATION DISCLOSURE	Application Number	09/697,483
STATEMENT BY APPLICANT	Filing Date	October 26, 2000
	Applicant(s)	Jon Dakss et al.
(use as many sheets as necessary)	Group Art Unit	2613

Examiner Name

		FOREIGN	PATENT DOCUMEN	ITS	
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (♥)
		WO 97/37497	10-09-1997	Astiz et al.	
		WO 98/10586	03-12-1998	Tyson	
		WO 98/11512	03-19-1998	Sevigny RECE	VEI
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		WO 98/43437	10-01-1998	Declerck et al.	
		WO 98/51077	11-12-1998	Durst et al.	
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		WO 99/23825	05-14-1999	Dunn et al.	
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		WO 99/52045	10-14-1999	Valdez	
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		WO 99/52283	10-14-1999	Hensley et al.	<u> </u>
		WO 99/54879	10-28-1999	Gagne	
		WO 00/07361	02-10-2000	Mao et al.	

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Erick J. Rekstad

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FORM PACE AND THE (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	50786/JEC/G476
INFORMATION DISCLOSURE	Application Number	09/697,483
	Filing Date	October 26, 2000
STATEMENT BY APPLICANT	Applicant(s)	Jon Dakss et al.
(use as many sheets as necessary)	Group Art Unit	2613
	Examiner Name	Erick J. Rekstad

	FOREIGN PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	I I	T ⁶ ✓)
		WO 00/13414	03-09-2000	Kamijo et al.	
		WO 00/31972	06-02-2000	Wilcox et al.	
		WO 00/39947	07-06-2000	Stalker	
		WO 00/40004	07-06-2000	Wishoff et al.	
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		WO 00/46680	08-10-2000	Kamen et al.	
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		WO 00/63848	10-26-2000	Miller et al.	
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		WO 01/17256 A1	03-08-2001	Kay et al.	
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		WO 01/67388 A2	09-13-2001	Dakss et al.	

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FORM 10/SB()849 (10-01) Substitute 101-10-1449A/B	Attorney Docket Number	50786/JEC/G476
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	09/697,483
	Filing Date	October 26, 2000
	Applicant(s)	Jon Dakss et al ECEIVED
	Group Art Unit	2613 MAY 2 5 2004
	Examiner Name	Erick J. Rekstad

		OTHER DOCUMENTS
EXAMINER INITIALS	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Annex for form PCT/ISA/206, PCT International Search Report for International Application No. PCT/US01/10641, mailed on September 12, 2001
		Brigger et al.; Morphological Shape Representation for Very Low Bit-Rate Video Coding; pp. 297-311, Signal Processing: Image Communication, NL., Elsevier Science Publishers, Amsterdam, Vol. 7, No. 4
		Burill, et al., Time-Varying Sensitive Regions in Dynamic Multimedia Objects: a Pragmatic Approach to Content-Based Retrieval from Video, pp 213-223, Information and software Technology, 1994
		Chalom et al., Segmentation of an Image Sequence Using Multi-Dimensional Image Attributes, 4 pp., Proceedings of the ICIP-96, September 1996
		Dakks et al., <i>Hyperlinked Video</i> , pp. 2-10, Proceedings of the SPIE Conference on Multimedia Systems and Applications, November 1998, Boston
		Ginige et al., <i>Hypermedia Authoring</i> , pp. 24-35, University of Technology, Sydnes, IEEE MultiMedia, Winter 1995
		Hirata et al., Content-Oriented Integration in Hypermedia Systems, pp 11-21, Washington, March 16-20, 1996, New York, ACM US vol. CONF. 7, March 16, 1996
		Co-pending U.S. Application No 09/943,583, filed August 30, 2001, entitled METHOD AND APPARATUS FOR HYPERLINKING INA TELEVISION BROADCAST
		Co-pending U.S. Application No. 09/715,944, filed November 17, 2000, entitled METHOD AND APPARATUS FOR RECEIVING A HYPERLINKED TELEVISION BROADCAST
		Co-pending U.S. Application No. 09/695,900, filed October 25, 2000, entitled METHOD AND APPARATUS FOR INTERACTION WITH HYPERLINKS IN A TELEVISION BROADCAST

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FORM TOASEN B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	50786/JEC/G476
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	09/697,483
	Filing Date	October 26, 2000 ECEIVED
	Applicant(s)	Jon Dakss et al. MAY 2 5 2004
	Group Art Unit	2613 <u>Fechnology Center</u> 260
	Examiner Name	Erick J. Rekstad

		OTHER DOCUMENTS
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Co-pending U.S. Patent Application No. 09/747,677; filed December 22, 2000, entitled Entitled: A Method and Apparatus for Switching Between Multiple Programs by Interacting with a Hyperlinked Television Broadcast
		Co-pending U.S. Patent Application No. 09/697,775; filed October 26, 2000, entitled METHOD AND APPARATUS FOR GENERATING DATA STRUCTURES FOR A HYPERLINKED TELEVISION BROADCAST
		Co-pending U.S. Patent Application No. 09/697,774, filed October 26, 2000, entitled: METHODS FOR OUTLINING AND FILLING REGIONS IN MULTI-DIMENSIONS ARRAYS
		Co-pending U.S. Patent Application No. 09/697,479, filed October 26, 2000, entitled SINGLE-PASS MULTILEVEL METHOD FOR APPLYING MORPHOLOGICAL OPERATORS IN MULTIPLE DIMENSIONS
		Pardas et al; 3D Morphological Segmentation for Image Sequence Processing; pp. 6.1_3.1-6.1_3.6; Nonlinear Digital Signal Processing; January 17-20, 1993; Barcelona, Spain. [online], [retrieved from the Internet]
		PCT International Search Report for International Application No. PCT/US01/06351, mailed on August 20, 2001
		PCT International Search Report for International Application No. PCT/US01/06458, mailed on August 20, 2001
		PCT International Search Report for Internati onal Application No. PCT/US01/06461, mailed on August 20, 2001
		PCT International Search Report for International Application No. PCT/US01/27046, mailed on November 14, 2001

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FORM PTO/SR/98 / B (10-01) Substitute 10-1449A/B	Attorney Docket Number	50786/JEC/G476
INFORMATION DISCLOSURE	Application Number	09/697,483
STATEMENT BY APPLICANT	Filing Date	October 26, 2000
STATEMENT BY AFFLICANT	Applicant(s)	Jon Dakss et al.

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OTHER DOCUMENTS						
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
		Salembier et al.; Segmentation-Based Video Coding System Allowing the Manipulation of Objects; pp 60-73, IEEE Transactions on Cicuits and Systems for Video Technology, IEE Inc., New York, US, Vol. 7, No. 1, February 1997				
		Tonomura, Video Handling Based on Structured Information for Hypermedia Systems, pp. 333-344 In Proceedings International Conference on Multimedia Information Systems, Singapore, 1991				
		Vasconcelos, et al., Learning Mixture Hierarchies, 7 pp. NIPS'98, 1998				
	<u> </u>	Vass et al.; Automatic Spatio-Temporal Video Sequence Segmentation; pp 958-962, Proceedings of the 1998 International Conference on Image Processing; October 4-7, 1998, Chicago, Illinois, USA [online], [retrieved from the Internet] <url:http: 00723681.pdf="" 15617="" 5852="" ieeplore.ieee.org="" iei4="">, [retrieved on 07-16-2001]m abstraction.</url:http:>				

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